

Agro Tech Foods Limited

COMPLIANCE CERTIFICATE FOR THE QUARTER ENDED JUNE 2022

(Pursuant to Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015)

I, **Jyoti Chawla**, Compliance Officer, have examined the following compliance requirement of **Agro Tech Foods Limited** (Company) and certify that the Company has maintained a Structured Digital Database (SDD) pursuant to provisions of Regulation 3(5) and 3(6) of **Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015** (PIT Regulations):

Sr. No	Compliance Requirement	Yes/No	Observation/ Remark
1.	Whether the Company has a Structured Digital Database in place?	<u>Yes</u>	<u>NSDL was appointed as Designated Depository</u>
2.	Whether control exists as to who can access the SDD for read/ write along with the names and PAN of such person?	<u>Yes</u>	
3.	Whether all the UPSI had been captured in the Database. If not details of events that had not been captured and the reason for the same?	<u>Yes</u>	<u>The UPSI is captured in the Database maintained internally by the Company</u>
4.	Whether the recipients were upfront informed that the information which they will be receiving shortly is UPSI and the entry has been captured in the Database prior to forwarding the UPSI data. If not details of events that have not been captured and the reason for the same?	<u>Yes</u>	<u>The standing instructions to connected persons is given about UPSI being shared with respect to Financial Results and Dividend and at the time when any other UPSI event is arisen, the information to connected persons is shared and informed in advance about the information being UPSI</u>



Corp. Office: 15th Floor, Tower 'C', Bldg # 10, Phase II, DLF Cyber City, Gurgaon-122002. Tel: 91-124-4593700, Fax: 91-124-4593799

Regd. Office: 31, Sarojini Devi Road, Secunderabad – 500 003, India. Tel: 91-40-66650240, Fax: 91-40-27800947 Web: www.atfoods.com

CIN: L15142TG1986PLC006957

Agro Tech Foods Limited

5.	Whether nature of UPSI have been captured along with date and time?	<u>Yes</u>	
6.	Whether name of persons who have shared the information has been captured along with PAN or any other identifier?	<u>Yes</u>	<u>The UPSI is captured in the Database maintained internally by the Company</u>
7.	Whether name of persons with whom information is shared has been captured along with PAN or any other identifier?	<u>Yes</u>	<u>-do-</u>
8.	Whether the database has been maintained internally?	<u>Yes</u>	
9.	Whether audit trail is maintained?	<u>Yes</u>	
10.	Whether time stamping is maintained?	<u>Yes</u>	
11.	Whether the database is non-tamperable?	<u>Yes</u>	
12.	Any other measures to ensure non-tamperability of the Database?		

Note: The information of the audit should cover the period when such information was inserted in the SDD up to the date of disclosure.

The number of days for which non-compliance was observed:

Further I also confirm that the Company was required to capture **2** number of events during the quarter/half year ended and has captured **2** number of the said required events.

For **Agro Tech Foods Limited**



Jyoti Chawla

Compliance Officer

Date: 09th August, 2022

Place: Gurugram



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